



Receipt  
#5

# FILE COPY

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )

SATOSHI OBATA ET AL. )

Appln. No.: 09/462,342 )

Filed: January 7, 2000 )

For: APPARATUS AND METHOD FOR )  
TRANSMITTING INFORMATION, )  
APPARATUS AND METHOD FOR )  
RECEIVING INFORMATION, )  
APPARATUS AND METHOD FOR )  
TRANSMITTING AND RECEIVING )  
INFORMATION, AND RECORDING )  
MEDIUM )

) Group Art Unit: 2713

) Examiner:

) REQUEST FOR CORRECTION  
OF THE OFFICIAL FILING  
RECEIPT

) 2001 Ferry Building  
San Francisco, CA 94111  
415/433-4150

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 5, 2000.

LIMBACH & LIMBACH L.L.P. Date: April 5, 2000

By: Jac  
Name: Janet Chan

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1  
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MAY 6 2000

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is a copy of the Official Filing Receipt (Form PTO-103X) which was received in our offices on March 13, 2000 in connection with the above-identified application.

The Official Filing Receipt is in error. Please amend the receipt as shown in red ink on the attached copy as follows:

Please correct the title as follows:

**APPARATUS AND METHOD FOR TRANSMITTING  
INFORMATION, APPARATUS AND METHOD FOR RECEIVING  
INFORMATION, APPARATUS AND METHOD FOR**

PATENT

**TRANSMITTING AND RECEIVING INFORMATION, AND  
RECORDING MEDIUM**

Please forward the amended Official Filing  
Receipt to:

Mayumi Maeda  
Limbach & Limbach L.L.P.  
2001 Ferry Building  
San Francisco, CA 94111

In the event it is determined that a fee  
pursuant to 37 C.F.R. § 1.19(h) is required in  
connection with this request, the Commissioner is  
hereby authorized to charge payment of any fees  
associated with this communication or credit any  
overpayment to Deposit Account No. 12-1420. A  
duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

Date: April 5, 2000

By: M. Maeda  
Mayumi Maeda  
Reg. No. 40,075

Attorneys for Applicant(s)

Attorney Docket No. KOIK-P9501  
(S99P0501US00; SK99PCT26US00)

## FILING RECEIPT



\*OC00000004984846\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

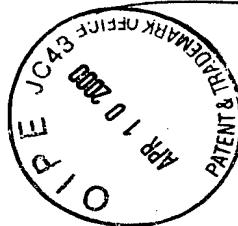
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/462,342	01/07/2000	2713	1560	KOIK-P9501	22	21	12

M.M.  
 LIMBACH & LIMBACH  
 2001 FERRY BUILDING  
 SAN FRANCISCO, CA 94111

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MAR 13 2000

LIMBACH &amp; LIMBACH LLP



Date Mailed: 03/07/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

SATOSHI OBATA, KANAGAWA, JAPAN ;  
 SHINICHI TAKEMURA, KANAGAWA, JAPAN ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/JP99/02457 05/12/1999

## Foreign Applications

JAPAN 10/128719 05/12/1998  
 JAPAN 11/127290 05/07/1999

Foreign filing license granted on 03/07/2000

## Title

METHOD AND APPARATUS FOR DATA TRANSMISSION, METHOD AND APPARATUS FOR DATA RECEPTION, METHOD AND APPARATUS FOR DATA TRANSMISSION/TRANSMISSION, AND RECORDING MEDIUM—APPARATUS AND METHOD FOR TRANSMITTING INFORMATION, APPARATUS AND METHOD FOR RECEIVING INFORMATION, APPARATUS AND METHOD FOR TRANSMITTING AND RECEIVING INFORMATION, AND RECORDING MEDIUM  
 Preliminary Class 348

Data entry by : ORDENEZ, MARTA

Team : OIPE

Date: 03/07/2000





Bib Data Sheet



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SERIAL NUMBER 09/462,342	FILING DATE 01/07/2000 RULE	CLASS 348	GROUP ART UNIT 2713	ATTORNEY DOCKET NO. KOIK-P9501
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**APPLICANTS**

SATOSHI OBATA, KANAGAWA, JAPAN;  
SHINICHI TAKEMURA, KANAGAWA, JAPAN;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A 371 OF PCT/JP99/02457 05/12/1999

*Yes CMS*

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

JAPAN 10/128719 05/12/1998

JAPAN 11/127290 05/07/1999

*No CMS*

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED ..**

\*\* 03/07/2000

Foreign Priority claimed	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	JAPAN	22	21	12
Verified and Acknowledged	<i>CMS</i>	Examiner's Signature	Initials		

**ADDRESS**

MAYUMI MAEDA  
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**TITLE**

APPARATUS AND METHOD FOR TRANSMITTING INFORMATION, APPARATUS AND METHOD FOR RECEIVING INFORMATION, APPARATUS AND METHOD FOR TRANSMITTING AND RECEIVING INFORMATION, AND RECORDING MEDIUM

FILING FEE RECEIVED 1560	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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